

# Explorations in Engagement for Humans and Robots

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## Abstract

This paper explores the concept of engagement, the process by which individuals in an interaction start, maintain and end their perceived connection to one another. The paper reports on one aspect of engagement among human interactors—the effect of tracking faces during an interaction. It also describes the architecture of a robot that can participate in conversational, collaborative interactions with engagement gestures. Finally, the paper reports on findings of experiments with human participants who interacted with a robot when it either performed or did not perform engagement gestures. Results of the human-robot studies indicate that people become engaged with robots: they direct their attention to the robot more often in interactions where engagement gestures are present, and they find interactions more appropriate when engagement gestures are present than when they are not.

*Key words:* engagement, human-robot interaction, conversation, collaboration, dialogue, gestures

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## 1 Introduction

When individuals interact with one another face-to-face, they use gestures and conversation to begin their interaction, to maintain and accomplish things during the interaction, and to end the interaction. Engagement is the process by which interactors start, maintain and end their perceived connection to each other during an interaction. It combines verbal communication and non-verbal behaviors, all of which support the perception of connectedness between interactors. While the verbal channel provides detailed and rich semantic information as well as social connection, the non-verbal channel can be used to provide information about what has been understood so far, what the interactors are each (or together) attending to, evidence of their waning connectedness, and evidence of their desire to disengage.

Evidence for the significance of engagement becomes apparent in situations where engagement behaviors conflict, such as when the dialogue behavior indicates that the interactors are engaged (via turn taking, conveying intentions and the like), but when one or more of the interactors looks away for long periods to free space or objects that have nothing to do with the dialogue. This paper explores the idea that engagement is as central to human-robot interaction as it is for human-human interaction.<sup>1</sup>

Engagement is not well understood in the human-human context, in part because it has not been identified as a basic behavior. Instead, behaviors such as looking and gaze, turn taking and other conversational matters have been studied separately, but only in the sociological and psychological communities as part of general communication studies. In artificial intelligence, much of the focus has been on language understanding and production, rather than gestures or on the fundamental problems of how to get started and stay connected, and the role of gesture in connecting. Only with the advent of embodied conversational (screen-based) agents and better vision technology have issues about gesture begun to come forward (see Traum and Rickel (2002) and Nakano et al. (2003) for examples of screen-based embodied conversational agents where these issues are relevant).

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<sup>1</sup> The use of the term “engagement” was inspired by a talk given by Alan Bierman at User Modelling 1999. Bierman (personal communication, 2002) said “The point is that when people talk, they maintain conscientious psychological connection with each other and each will not let the other person go. When one is finished speaking, there is an acceptable pause and then the other *must* return something. We have this set of unspoken rules that we all know unconsciously but we all use in every interaction. If there is an unacceptable pause, an unacceptable gaze into space, an unacceptable gesture, the cooperating person will change strategy and try to re-establish contact. Machines do none of the above, and it will be a whole research area when people get around to working on it.”

The methodology applied in this work has been to study human-human interaction and then to apply the results to human-robot interaction, with a focus on hosting activities. Hosting activities are a class of collaborative activity in which an agent provides guidance in the form of information, entertainment, education or other services in the user’s environment. The agent may also request that the user undertake actions to support its fulfillment of those services. Hosting is an example of what is often called “situated” or “embedded” activities, because it depends on the surrounding environment as well as the participants involved. We model hosting activities using the collaboration and conversation models of Grosz and Sidner (1986), Grosz and Kraus (1996), and Lochbaum (1998). Collaboration is distinguished from those interactions in which the agents cooperate but do not share goals.

In this work we define interaction as an encounter between two or more individuals during which at least one of the individuals has a purpose for encountering the others. Interactions often include conversation although it is possible to have an interaction where nothing is communicated verbally. Collaborative interactions are those in which the participating individuals come to have shared goals and intend to carry out activities to attain these shared goals. This work is directed at interactions between only two individuals.

Our hypothesis for this work concerned the effects of engagement gestures during collaborative interactions. In particular, we expect that a robot using appropriate looking gestures and one that had no such gestures would differentially affect how the human judged the interaction experience. We further predicted that the human would respond with corresponding looking gestures whenever the robot looked at and away from the human partner in appropriate ways. The first part of this paper investigates the nature of looking gestures in human-human interactions. The paper then explains how we built a robot to approximate the human behavior for engagement in conversation. Finally, the paper reports on an experiment wherein a human partner either interacts with a robot with looking gestures or one without them. A part of that experiment involved determining measures to use to evaluate the behavior of the human interactor.

## **2 Human-human engagement: results of video analysis**

This section presents our work on human-human engagement. First we review the findings of previous research that offer insight into the purpose of undertaking the current work.

Head gestures (head movement and eye movement) have been of interest to social scientists studying human interaction since the 1960s. Argyle and Cook

(1976) documented the function of gaze as an overall social signal, to attend to arousing stimulus, and to express interpersonal attitudes, and as part of controlling the synchronization of speech. They also noted that failure to attend to another person via gaze is evidence of lack of interest and attention. Other researchers have offered evidence of the role of gaze in coordinating talk between speakers and hearers, in particular, how gestures direct gaze to the face and why gestures might direct it away from the face (Kendon (1967); Duncan (1972); Heath (1986); Goodwin (1986) among others). Kendon’s observations (1967) that the participant taking over the turn in a conversation tends to gaze away from the previous speaker has been widely cited in the natural language dialogue community. Interestingly, Kendon thought this behavior might be due to the processing load of organizing what was about to be said, rather than a way to signal that the new speaker was undertaking to speak. More recent research argues that the information structure of the turn taker’s utterances governs the gaze away from the other participants (Cassell et al. (1999)).

Other work has focused on head movement alone (Kendon (1970); McClave (2000)) and its role in conversation. Kendon looked at head movements in turn taking and how they were used to signal change of turn, while McClave provided a large collection of observations of head movement that details the use of head shakes and sweeps for inclusion, intensification or uncertainty about phrases in utterances, change of head position to provide direct quotes, to provide images of characters and to place characters in physical space during speaking, and head nods as backchannels and as encouragement for listener response.<sup>2</sup>

While these previous works provide important insights as well as methodologies for how to observe people in conversation, they did not intend to explore the qualitative nature of head movement, nor did they attempt to provide general categories into which such behaviors could be placed. The research reported in this paper has been undertaken with the belief that regularities of behavior in head movement can be observed and understood. This work does not consider gaze because it has been studied more recently in AI models for turn taking (Thorisson (1997); Cassell et al. (1999)) and because the operation of gaze as a whole for an individual speaker and for an individual listener is still an area in need of much research. Nor is this work an attempt to add to the current theories about looking and turn taking. Rather this work is focused on attending to the face of the speaker, and harks back to Argyle and Cook’s (1976) ideas about looking (in their studies, just gazing) as evidence of

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<sup>2</sup> Yngve (1970) first observed the use of nods as backchannels, which are gestures and phrases such as “uh-huh, mm-hm, yeh, yes” that hearers offer during conversation. There is disagreement about whether the backchannel is used by the hearer to take a turn or to avoiding doing so.

interest. Of most relevance to gaze, looking and turn taking is Nakano et al’s recent work on grounding, which reports on the use of the hearer’s gaze and the lack of negative feedback to determine whether the speaker’s turn has been grounded by the hearer. As will be clear in the next section, our observations of looking behavior complement the empirical findings of that work.

The robotic interaction research reported in this paper was inspired by work on embodied conversation agents (ECAs). The Steve system, which provided users a means to interact with the ECA Steve through head-mounted glasses and associated sensors, calculated the user’s field of view to determine which objects were in view, and used that information to generate references in utterances (Rickel and Johnson (1999)). Other researchers (notably, Cassell et al. (2000a,b); Johnson et al. (2000), Gratch et al. (2002)) have developed ECAs that produce gestures in conversation, including facial gestures, hand gestures and body movements. However, they have not tried to incorporate recognition as well as production of these gestures, nor have they focused on the use of these behaviors to maintain engagement in conversation.

One might also consider whether people necessarily respond to robots in the same way as they do to screen-based agents. While this topic requires much further analysis, work by Kidd (2003) indicates that people collaborate differently with a telepresent robot than with a physically present robot. In that study, the same robot interacted with all participants, with the only difference being that for some participants the robot was present only by video link (i.e., it appeared on screen to interact with a person). Participants found the physically present robot more altruistic, more persuasive, more trustworthy, and providing better quality of information.

For the work presented here, we videotaped interactions of two people in a hosting situation, and transcribed portions of the video for all the utterances and some of the gestures (head, body position, body addressing) that occurred. We then considered one behavior in detail, namely mutual face tracking of the participants, as evidence of their focus of interest and engagement in the interaction. The purpose of the study was to determine how well the visitor (V) in the hosting situation tracked the head motion of the host (H), and to characterize the instances when V failed to track H.<sup>3</sup> While it is not possible to draw conclusions about all human behavior from a single pair interaction, even a single pair provides an important insight into the kinds of behavior that can occur.

In this study we assumed that the listener would track the speaker almost all the time, in order to convey engagement and use non-verbal as well as verbal

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<sup>3</sup> We say that V “tracks H’s changes in looking” if: when H looks at V, then V looks back at H; and when H looks elsewhere, V looks toward the same part of the environment as H looked.

	Count	Percentage of:	
		Tracking failures	Total host looks
Quick looks	11	30%	13%
Nods	14	38%	17%
Uncategorized	12	32%	15%

Table 1

Failures of a visitor (V) to track changes in host’s (H) looking during a conversation.

information for understanding. In our study the visitor is the listener in more than 90% of the interaction (which is not the normal case in conversations).<sup>4</sup>

To summarize, there are 82 instances where the (male) host (H) changed his head position, as an indication of changes in looking, during a five minute conversational exchange with the (female) visitor (V). Seven additional changes in looking were not counted because it was not clear to where the host turned. Of his 82 counted changes in looking, V tracks 45 of them (55%). The remaining failures to track looks (37, or 45% of all looks) can be subclassed into 3 groups: *quick looks* (11), *nods* (14), and *uncategorized failures* (12), as shown in Table 1. The quick look cases are those for which V fails to track a look that lasts for less than a second. The nod cases are those for which V nods (e.g., as an acknowledgement of what is being said) rather than tracking H’s look.

The quick look cases happen when V fails to notice H’s look due to some other activity, or because the look occurs in mid-utterance and does not seem to otherwise affect H’s utterance. In only one instance does H pause intonationally and look at V. One would expect an acknowledgement of some kind from V here, even if she doesn’t track H’s look, as is the case with nod failures. However, H proceeds even without the expected feedback.

The nod cases can be explained because they occur when H looks at V even though V is looking at something else. In all these instances, H closes an intonation phase, either during his look or a few words after, to which V nods and often articulates with “Mm-hm,” “Wow” or other phrases to indicate that she is following her conversational partner. In grounding terms (Clark (1996)), H is attempting to ascertain by looking at V that she is following his utterances and actions. When V cannot look, she provides feedback by nods and comments. She is able to do this because of linguistic (that is, prosodic) information from H indicating that her contribution is called for.

<sup>4</sup> The visitor says only 15 utterances other than 43 backchannels (for example, ok, ah-hah, yes, and wow) during 5 minutes and 14 seconds of dialogue. Even the visitor’s utterances are brief, for example, *absolutely, that’s very stylish, it’s not a problem.*



Fig. 1. Mel, the penguin robot with the IGlassware table

Of the uncategorized failures, the majority (8 instances) occur when V has other actions or goals to undertake. In addition, all of the uncategorized failures are longer in duration than quick looks (2 seconds or more). For example, V may be finishing a nod and not be able to track H while she's nodding. Of the remaining three tracking failures, each occurs for seemingly good reasons to video observers, but the host and visitor may or may not have been aware of these reasons at the time of occurrence. For example, one failure occurs at the start of the hosting interaction when V is looking at the new (to her) object that H displays and hence does not track H when he looks up at her.

Experience from this data has resulted in the *principle of conversational tracking*: a participant in a collaborative conversation tracks the other participant's face during the conversation in balance with the requirement to look away in order to: (1) participate in actions relevant to the collaboration, or (2) multi-task with activities unrelated to the current collaboration, such as scanning the surrounding environment for interest or danger, avoiding collisions, or performing personal activities.

### 3 Applying the results to robot behavior

The above results and the principle of conversational tracking have been put to use in robot studies via two different gesture strategies, one for behavior produced by the robot and one for interpreting user behavior. Our robot, named Mel, is designed to resemble a penguin wearing glasses (Figure 1), and is described in more detail in Section 4. The robot's default behavior during

a conversation is to attend to the user’s face, i.e., to keep its head oriented toward the user’s face. However, when called upon to look at objects in the environment during its conversational turn, the robot turns its head toward objects (either to point or indicate that the object is being reintroduced to user attention). Because the robot is not mobile and cannot see other activities going on around it, the robot does not scan the environment. Thus the non-task oriented lookaways observed in our studies of a human speaker are not replicated in these strategies with the robot.

A portion of the robot’s verbal behavior is coordinated with gestures as well. The robot converses about the task and obeys a model of turn taking in conversation. The robot always returns to face the user when it finishes its conversational turn, even if it had been directed elsewhere. It also awaits verbal responses not only to questions, but to statements and requests, to confirm user understanding before it continues the dialogue. This behavior parallels that of the human speaker in our studies. The robot’s collaboration and conversation abilities are based on the use of a tool for collaborative conversation (Rich and Sidner (1998); Rich et al. (2001)). An example conversation for a hosting activity is discussed in Section 4.

In interpreting human behavior, the robot does not adhere to the expectation that the user will look at the robot most of the time. Instead it expects that the user will look around at whatever the user chooses. This expectation results from the intuition that users might not view the robot as a typical conversational partner. Only when the robot expects the user to view certain objects does it respond if the user does not do so. In particular, the robot uses verbal statements and looking gestures to direct the user’s attention to the object. Furthermore, just as the human-human data indicates, the robot interprets head nods as an indication of grounding.<sup>5</sup> Our models treat recognition of user head nodding as a probabilistic classification of sensed motion data, and the interpretation of each nod depends on the dialogue context where it occurs. Only head nods that occur when or just before the robot awaits a response to a statement or request (a typical grounding point) are interpreted as acknowledgement of understanding.

The robot does not require the user to look at it when the user takes a conversational turn (as is prescribed by Sacks et al. (1974)). However, as we discuss later, such behavior is typical in a majority of the user interactions. The robot *does* expect that the user will take a turn when the robot signals its end of turn in the conversation. The robot interprets the failure to do so as an in-

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<sup>5</sup> We view grounding as a backward looking engagement behavior, one that solidifies what is understood up to the present utterance in the interaction. Forward looking engagement tells the participants that they continue to be connected and aware in the interaction.





Fig. 2. Mel demonstrates IGlassware to a visitor.

dication of disengagement, to which it responds by asking whether the user wishes to end the interaction. This strategy is not based on our human-human studies, since we saw no instances where failure to take up the turn occurred.

The robot also has its own strategies for initiating and terminating engagement, which are not based on our human-human studies. The robot searches out a face while offering greetings and then initiates engagement once it has some certainty (either through user speech or close proximity) that the user wants to engage (see the discussion in Section 4 for details on how this is accomplished). Disengagement occurs by offering to end the interaction, followed by standard (American) good-bye rituals (Schegeloff and Sacks (1973)), including the robot’s looking away from the user at the close.

#### **4 Architectures to support human-robot engagement, collaboration and conversation.**

Successful interaction between the human and robot requires the robot express its own engagement, and to interpret the human’s engagement behavior. This section reports on an architecture and its components to support engagement in collaborative interactions.

The robot’s interaction abilities have been developed and tested using a target task wherein the robot, acting as host, collaboratively demonstrates a hardware invention, IGlassware (Dietz et al. (2002)), to a human visitor (Figure 2). The robot is designed to resemble a penguin wearing glasses, and is stationary. Because the robot has only wings but no hands, it relies on the human to perform the physical manipulations necessary for the demonstration. The

*Robot moves its head back and forth until it locates a talking face.*

MEL: Hi, I'm Mel a robotic penguin.

USER: *Hi.*

*After this point, the robot tracks the user's face as the user moves around or turns his or her head.*

MEL: What's your name?

USER: *Sam.*

MEL: Sam, I'd like to show you a demo. OK?

USER: *No.*

MEL: But it's really interesting. Come on. Try it!

USER: *Ok.*

MEL: It would be really nice to know what kind of progress people are making in their dining. So Paul Dietz created a new product called IGlassware for this. By the way, Sam, do you know Paul?

USER: *No.*

MEL: Well, Paul is a member of MERL. This demo is one of his creations.

USER: *Ok.*

MEL: IGlassware stands for Instrumented glassware! Sam, right there *<robot turns head to table>* is the IGlassware cup. *<robot turns head to user>*

*Some users do not turn to the cup, so the robot says:*

MEL: The cup is here to my *<robot turns head to table>* right *<robot turns head to user>*.

*User turns toward the cup.*

MEL: And near it, is the table readout. The word re-fill on the readout means that it is empty.

USER: *Ok.*

MEL: All right, now we'll see how to use the cup.

USER: *All right.*

MEL: First you should pour enough water from the pitcher into the glass to fill it up. Then make sure the glass is on the IGlassware table.

USER: *Ok. <User pours water>*

MEL: Good, notice, the bar on the readout *<robot turns head to readout display on table>* shows that the glass is full *<robot turns back to user>*.

USER: *Right.*

MEL: Ok, pour the water back into the pitcher.

USER: *Ok. <User pours water>*

MEL: I'm waiting for a reading from the table for the glass.

MEL: Good. See, it registers needing a re-fill!

USER: *Yes.*

MEL: Would you like me to explain how this works?

USER: *Sure.*

MEL: The copper in the glass *<robot turns head to readout display>* transmits to the readout display by inductance with the surface of the table. The readout then displays the information coming to the table *<Robot turns head back to user>*.

USER: *Cool.*

MEL: Would you like to know how this technology might be used in restaurants?

USER: *Yes.*

MEL: The glass tells the restaurant when the customer needs a refill. In restaurants drinks mean profit, so this gadget makes it easier for restaurants to sell more drinks and make more money.

USER: *Ok.*

MEL: Well, Sam, that's about all. Go see Paul Dietz, for more about IGlassware. So long!

USER: *Good-bye.*

Fig. 3. Example conversation between Mel and a human user.

human thus must agree to collaborate for the demo to succeed. A typical interaction lasts about 3.5 minutes and an example is shown in Figure 3. Robot beat gestures,<sup>6</sup> head nods, and generic human gestures are not included in the figure. If the human does not agree to participate in the demo, the robot engages in brief, basic social “chit-chat” before closing the conversation. How the user responds to the robot’s looks at the table are discussed in Section 5.

The robot’s hardware consists of:

- 7 servos (two 2 DOF shoulders, 2 DOF neck, 1 DOF beak)
- Stereo camera (6 DOF head tracking software of Morency et al. (2003); Viola and Jones (2001))
- Stereo microphones (with speech detection and direction-location software)
- Far-distance microphone for speech recognition
- 3 computers: one for sensor fusion and robot motion, one for vision (6 DOF head tracking and head-gesture recognition), one for dialogue (speech recognition, dialogue modeling, speech generation and synthesis).

Our current robot is able to:

- Initiate an interaction by visually locating a potential human interlocutor and generating appropriate greeting behaviors,
- Maintain engagement by tracking the user’s moving face and judging the user’s engagement based on head position (to the robot, to objects necessary for the collaboration),
- Reformulate a request upon failure of the user to respond to robot pointing,
- Point and look at objects in the environment,
- Interpret nods as backchannels and agreements in conversation Kapoor and Picard (2001); Morency et al. (2005), and
- Understand limited spoken utterances and produce rich verbal spoken conversation, for demonstration of IGlassware, and social “chit-chat,”
- Accept appropriate spoken responses from the user and make additional choices based on user comments,
- Disengage by verbal interaction and closing comments, and simple gestures,
- Interpret user desire to disengage (through gesture and speech evidence).

Verbal and non-verbal behavior are integrated and occur fully autonomously.

The robot’s software architecture consists of distinct sensorimotor and conversational subsystems. The conversational subsystem is based on the COLLAGEN<sup>(TM)</sup> collaboration and conversation model (see Rich and Sidner (1998); Rich et al. (2001)), but enhanced to make use of strategies for engagement.

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<sup>6</sup> Beat gestures are hand or occasionally head movements that are hypothesized to occur to mark new information in an utterance (Cassell (2000); Cassell et al. (2001)).

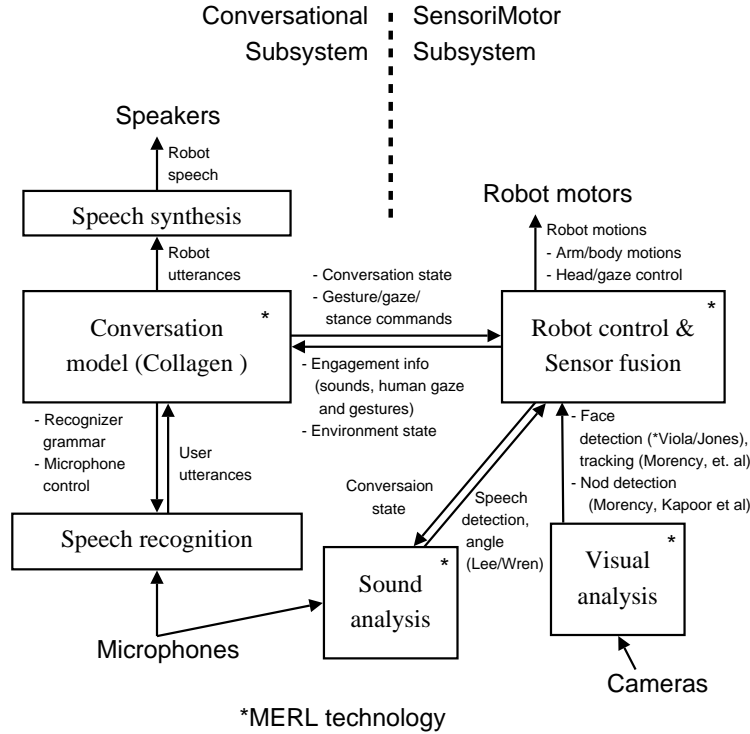


Fig. 4. Robot software architecture

The sensorimotor subsystem is a custom, dynamic, task-based blackboard robot architecture. It performs data fusion of sound and visual information for tracking human interlocutors in a manner similar to other systems such as Okuno et al. (2003), but its connection to the conversational subsystem is unique. The communication between these two subsystems is vital for managing engagement in collaborative interactions with a human.

#### 4.1 The Conversational Subsystem of the Robot

For the robot’s collaboration and conversation model, the special tutoring capabilities of COLLAGEN<sup>(TM)</sup> were utilized. In COLLAGEN<sup>(TM)</sup> a task, such as demonstrating IGlassware, is specified by a hierarchical library of “recipes”, which describe the actions that the user and agent will perform to achieve certain goals. For tutoring, the recipes include an optional prologue and epilogue for each action, to allow for the behavior of tutors in which they often describe the act being learned (the prologue), demonstrate how to do it, and then recap the experience in some way (the epilogue).

At the heart of the IGlassware demonstration is a simple recipe for pouring water from a pitcher into a cup, and then pouring the water from the cup back into the pitcher. These are the physical actions the robot “teaches.” The rest of the demonstration is comprised of explanations about what the user

will see, uses of the IGlassware table, and so on. The interaction as a whole is described by a recipe consisting of a greeting, the demonstration and a closing. The demonstration is an optional step, and if not undertaken, can be followed by an optional step for having a short chat about visiting the MERL lab. Providing these and other more detailed recipes to COLLAGEN<sup>(TM)</sup> makes it possible for the robot to interpret and participate in the entire conversation using the built-in functions provided by COLLAGEN<sup>(TM)</sup>.

Figure 5 provides a representation, called a *segmented interaction history* which COLLAGEN<sup>(TM)</sup> automatically incrementally computes during the robot interaction. The indentation in Figure 5 reflects the hierarchical (tree) structure of the underlying recipe library. The terminal nodes of the tree are the utterances and actions of the human and the robot, as shown in Figure 2. The non-terminal nodes of the tree (indicated by square brackets) correspond to the goals and subgoals of the task model. For example, the three lines in bold denote the three first level subgoals of the top level goal in the recipe library. Many parts of the segmented interaction history have been suppressed in Figure 5 to save space.

The robot’s language generation is achieved in two ways. First, COLLAGEN<sup>(TM)</sup> automatically produces a semantic representation of what to say, which is appropriate to the current conversational and task context. For example, COLLAGEN<sup>(TM)</sup> automatically decides near the beginning of the interaction to generate an utterance whose semantics is a query for the value of an unknown parameter of a recipe, in this case, the parameter corresponding to the user’s name. COLLAGEN<sup>(TM)</sup>’s default realization for this type of utterance is “what is the  $\langle parameter \rangle$ ?” as in “what is the user name?” This default is hardly a natural way to ask a person for their name. To remedy this problem, this default can be overridden by another part of the generation algorithm in COLLAGEN<sup>(TM)</sup>. It applies optional hand-built application-specific templates. In this example, it causes “what is your name?” to be generated. In addition, the robot’s beat movements and head turns are also hand-built to occur in concert with the utterances. Tracking the visitor’s face and nodding at the user are not hand crafted and occur automatically in the sensorimotor system.

Engagement behavior is integrated in COLLAGEN<sup>(TM)</sup> in two ways. First, engagement is a pervasive behavior rather than part of achieving any particular goal, decisions about engagement (beginning it, determining whether it is succeeding or failing, and when to end it) are handled in COLLAGEN<sup>(TM)</sup>’s “agent” module. The robot’s COLLAGEN<sup>(TM)</sup> agent was extended to provide additional decision-making rules for when a face is found (so that greetings can occur), to determine when to abort the demo, how to interpret looks away on the part of the user, and the expectations that the user will look at specific objects during the demo.

Got face.

[Done interacting about IGlassware.]

- 1 [Done greeting.]
- 2 [Done demonstrating IGlassware.]
 

Mel says “Sam, I’d like to show you a demo, Ok?”

User says “No.” (...)

[Done providing prologue to demonstrating IGlassware.]

[Done discussing conditions of demonstrating IGlassware.]

[Done Mel introducing IGlassware.]

Mel says “It would be really nice to know...”

User says “Ok.”

[Done discussing Paul.]

[Done user looking at cup by showing.]

[Done showing how to look at cup.]

[Done user looking at cup.]

Mel says “Sam, right there is the IGlassware cup.”

Mel says “The cup is here to my right.”

User looks at cup.

[Done Mel providing epilogue to showing how to look at cup.]

[Done user looking at readout by showing.]

[Done filling and emptying the glass.]

[Done user pouring water into the cup by showing.]

[Done showing how to pour water into the cup.]

[Done user pouring water into the cup.]

[Done user pouring water back into the pitcher by showing.]

[Done showing how to pour water back into the pitcher.]

[Done user pouring water back into the pitcher.]

Mel says “Ok. pour the water back into the pitcher.”

User pours water back into the pitcher.

[Done Mel providing epilogue to showing how to pour water back.]

Mel says “Good.”

[Done discussing conditions of showing how to pour water back.]

Mel says “See, it registers needing a re-fill!”

User says “Yes.”

[Done providing epilogue to demonstrating IGlassware.]

[Done discussing conditions of demonstrating IGlassware.]

[Done explaining how IGlassware works.]

[Done explaining why the cup is useful.]

- 3 [Done closing by normal closing.]
 

Mel says “Well, Sam, that’s about all...”

Fig. 5. Segmented Interaction History for Figure fig:demonstration

Second, engagement rules can introduce new goals into COLLAGEN<sup>(TM)</sup>’s collaborative behavior. For example, if the engagement rules (mentioned previously) decide that the user is disengaging, a new goal may be introduced to re-engage. COLLAGEN<sup>(TM)</sup> will then choose among its recipes to achieve the

goal of re-engagement. Thus the full problem solving power of the task-oriented part of COLLAGEN<sup>(TM)</sup> is brought to bear on goals which are introduced by the engagement layer.

#### *4.2 Interactions between the sensorimotor and conversational subsystems*

Interactions between the sensorimotor and conversational subsystems flow in two directions. Information about user manipulations and gestures must be communicated in summary form as discrete events from the sensorimotor to the conversational subsystem, so that the conversational side can accurately model the collaboration and engagement. The conversational subsystem uses this sensory information to determine whether the user is continuing to engage with the robot, has responded to (indirect) requests to look at objects in the environment, has nodded at the robot (which must be interpreted in light of the current conversation state as either a backchannel, an agreement, or as superfluous), is looking elsewhere in the scene, or is no longer visible (a signal of possible disengagement).

In the other direction, high-level decisions and dialogue state must be communicated from the conversational to the sensorimotor subsystem, so that the robot can gesture appropriately during robot and user utterances, and so that sensor fusion can appropriately interpret user gestures and manipulations. For example, the conversational subsystem tells the sensorimotor subsystem when the robot is speaking and when it expects the human to speak, so that the robot will look at the human during the human's turn. The conversational subsystem also indicates the points during robot utterances when the robot should perform a given beat gesture (Cassell et al. (2001)) in synchrony with new information in the utterance, or when it should look at (only by head position, not eye movements) or point to objects (with its wing) in the environment in coordination with spoken output. For example, the sensorimotor subsystem knows that a GLANCEAT command from the conversational subsystem temporarily overrides any default face tracking behavior when the robot is speaking. However, normal face tracking goes on in parallel with beat gestures (since beat gestures in the robot are only done with the robot's limbs).

Our robot cannot recognize or locate objects in the environment. In early versions of the IGlassware demonstration experiments, we used special markers on the cup so that the robot could find it in the environment. However, when the user manipulated the cup, the robot was not able to track the cup quickly enough, so we omitted this type of knowledge in more recent versions of the demo. The robot learns about how much water is in the glass, not from visual recognition, but through wireless data that IGlassware sends to it from the table.

In many circumstances, information about the dialogue state must be communicated from the conversational to the sensorimotor subsystem in order for the sensorimotor subsystem to properly inform the conversational subsystem about the environment state and any significant human actions or gestures. For example, the sensorimotor subsystem only tries to detect the presence of human speech when the conversational subsystem expects human speech, that is, when the robot has a conversational partner and is itself not speaking. Similarly, the conversational subsystem tells the sensorimotor subsystem when it expects, based on the current purpose as specified in its dialogue model, that the human will look at a given object in the environment. The sensorimotor subsystem can then send an appropriate semantic event to the conversational subsystem when the human is observed to move his/her head appropriately. For example, if the cup and readout are in approximately the same place, a user glance in that direction will be translated as LOOKAT(HUMAN,CUP) if the dialogue context expects the user to look at the cup (e.g., when the robot says “here is the cup”), but as LOOKAT(HUMAN,READOUT) if the dialogue context expects the human to look at the readout, and as no event if no particular look is expected.

The current architecture has an important limitation: The robot has control of the conversation and directs what is discussed. This format is required because of the unreliability of current off-the-shelf speech recognition tools. User turns are limited to a few types of simple utterances, such as “hello, goodbye, yes, no, okay,” and “please repeat”. While people often say more complex utterances,<sup>7</sup> such utterances cannot be interpreted with any reliability by current commercially available speech engines unless users train the speech engine for their own voices. However, our robot is intended for all users without any type of pre-training, and therefore speech and conversation control have been limited. Future improvements in speech recognition systems will eventually permit users to speak complex utterances in which they can express their desires, goals, dissatisfactions and observations during collaborations with the robot. The existing COLLAGEN<sup>(TM)</sup> system can already interpret the intentions conveyed in more complex utterances, even though no such utterances can be expressed reliably to the robot at the present time.

Finally, it must be noted here that the behaviors that are supported in Mel are not found in many other systems. The MACK screen-based embodied conversation agent, which uses earlier versions of the same vision technology used in this work, is also able to point at objects and to track the human user’s head (Nakano et al. (2003)). However, the MACK system was tested with just a few users and does not use the large amount of data we have collected

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<sup>7</sup> In our experimental studies, despite being told to limit their utterances to ones similar to those above, some users spoke more complex utterances during their conversations with the robot.



(over more than a year) of users interacting and nodding to the robot. This data collection was necessary to make the vision nodding algorithms reliable enough to use in a large user study, which we are currently undertaking (see Morency et al. (2005) for initial results on that work). A full report on our experiences with a robot interpreting nodding must be delayed for a future paper.

## 5 Studies with users

A study of the effects of engagement gestures by the robot with human collaboration partners was conducted (see Sidner et al. (2004)). The study consisted of two groups of users interacting with the robot to collaboratively perform a demo of IGlassware, in a conversation similar to that described in Figure 3. We present the study and main results as well as additional results related to nodding. We discuss measures used in that study as well as additional measures that should be useful in gauging the naturalness of robotic interactions during conversations with human users.

Thirty-seven participants were tested across two different conditions. Participants were chosen from summer staff at a computer science research laboratory, and individuals living in the local community who responded to advertisements placed in the community. Three participants had interacted with a robot previously; none had interacted with our robot. Participants ranged in age from 20 to roughly 50 years of age; 23 were male and 14 were female. All participants were paid a small fee for their participation.

In the first, the *mover* condition, with 20 participants, the fully functional robot conducted the demonstration of the IGlassware table, complete with all its gestures. In the second, the *talker* condition, with 17 participants, the robot gave the same demonstration in terms of verbal utterances, that is, all its conversational verbal behavior using the speech and COLLAGEN<sup>(TM)</sup> system remained the same. It also used its visual system to observe the user, as in the mover condition. However, the robot was constrained to talk by moving only its beak in synchrony with the words it spoke. It initially located the participant with its vision system, oriented its head to face the user, but thereafter its head remained pointed in that direction. It performed no wing or head movements thereafter, neither to track the user, point and look at objects nor to perform beat gestures.

In the protocol for the study, each participant was randomly pre-assigned into one of the two conditions. Twenty people participated in the mover condition and 17 in the talker condition. A video camera was turned on before the participant arrived. The participant was introduced to the robot as “Mel”

and told the stated purpose of the interaction, that is, to see a demo from Mel. Participants were told that they would be asked a series of questions at the completion of the interaction.

Then the robot was turned on, and the participant was instructed to approach the robot. The interaction began, and the experimenter left the room. After the demonstration, participants were given a short questionnaire that contained the scales described in the Questionnaires section below. Lastly they also reviewed the videotape with the experimenter to discuss problems they encountered.

All participants completed the demo with the robot. Their sessions were videotaped and followed by a questionnaire and informal debriefing. The videotaped sessions were analyzed to determine what types of behaviors occurred in the two conditions and what behaviors provided evidence that the robot's engagement behavior approached human-human behavior.

While our work is highly exploratory, we predicted that people would prefer interactions with a robot with gestures (the mover condition). We also expected that participants in the mover condition would exhibit more interest in the robot during the interaction. However, we did not know exactly what form the differences would take. As our results show, our predictions are partially correct.

### 5.1 Questionnaires

Questionnaire data focused on the robot's likability, understanding of the demonstration, reliability/dependability, appropriateness of movement and emotional response.

Participants were provided with a post-interaction questionnaire. Questionnaires were devoted to five different factors concerning the robot:

- (1) *General liking of Mel* (devised for experiment; 3 items). This measure gives the participants' overall impressions of the robot and their interactions with it.
- (2) *Knowledge and confidence of knowledge of demo* (devised for experiment; 6 items). Knowledge of the demonstration concerns task differences. It was unlikely that there would be a difference among participants, but such a difference would be very telling about the two conditions of interaction. Confidence in the knowledge of the demonstration is a finer-grained measure of task differences. Confidence questions asked the participant how certain they were about their responses to the factual knowledge questions. There could potentially be differences in this measure not seen

in the direct questions about task knowledge.

- (3) *Involvement in the interaction* (adapted from Lombard et al. (2000); Lombard and Ditton (1997); 5 items). Lombard and Ditton’s notion of engagement (different from ours) is a good measure of how involving the experience seemed to the person interacting with the robot.
- (4) *Reliability of the robot* (adapted from Kidd (2003), 4 items). While not directly related to the outcome of this interaction, the perceived reliability of the robot is a good indicator of how much the participants would be likely to depend on the robot for information on an ongoing basis. A higher rating of reliability means that the robot will be perceived more positively in future interactions.
- (5) *Effectiveness of movements* (devised for experiment; 5 items). This measure is used to determine the quality of the gestures and looking.

Results from these questions are presented in Table 2. A multivariate analysis of condition, gender, and condition crossed with gender (for interaction effects) was undertaken. No difference was found between the two groups on likability, or understanding of the demonstration, while a gender difference for women was found on involvement response. Participants in the mover condition scored the robot more often as making appropriate gestures (significant with  $F[1, 37] = 6.86, p = 0.013, p < 0.05$ ), while participants in the talker condition scored the robot more often as dependable/reliable ( $F[1, 37] = 13.77, p < 0.001$ , high significance).

For factors where there are no difference in effects, it is evident that all participants understood the demonstration and were confident of their response. Knowledge was a right/wrong encoding of the answers to the questions. In general, most participants got the answers correct (overall average = 0.94; movers = 0.90; talkers = 0.98). Confidence was scored on a 7-point Likert scale. Both conditions rated highly (overall average = 6.14; movers = 6.17; talkers = 6.10). All participants also liked Mel more than they disliked him. On a 7-point Likert scale, the overall average was 4.86. The average for the mover condition was 4.78, while the talker condition was actually higher, at 4.96. If one participant who had difficulty with the interaction is removed, the mover group average becomes 4.88. None of the comparative differences between participants is significant.

The three factors with effects for the two conditions provide some insight into the interaction with Mel. First consider the effects of gender on involvement. The sense of involvement (called engagement in Lombard and Ditton’s work) concerns being “captured” by the experience. Questions for this factor included:

- How engaging was the interaction?
- How relaxing or exciting was the experience?

<i>Tested factor</i>	<i>Significant effects</i>
Liking of Robot:	No effects
Knowledge of the demo:	No effects
Confidence of knowledge of the demo:	No effects
Engagement in the interaction:	<i>Effect for female gender:</i> Female average: 4.84 Male average: 4.48 $F[1, 30] = 3.94$ $p = 0.0574$ ( <i>Borderline significance</i> )
Reliability of robot:	<i>Effect for talker condition:</i> Mover average: 3.84 Talker average: 5.19 $F[1, 37] = 13.77$ $p < 0.001$ ( <i>High significance</i> )
Appropriateness of movements:	<i>Effect for mover condition:</i> Mover average: 4.99 Talker average: 4.27 $F[1, 37] = 6.86$ $p = 0.013$ ( $p < 0.05$ : <i>Significance</i> )

Table 2  
Summary of questionnaire results

- How completely were your senses engaged?
- The experience caused real feelings and emotions for me.
- I was so involved in the interaction that I lost track of time.

While these results are certainly interesting, we only conclude that male and female users may interact in different ways with robots that fully move. This result mirrors work by Shinozawa et al. (2003) who found difference in gender, not for involvement, but for likability and credibility. Kidd (2003) found gender differences about how reliable a robot was (as opposed to an on-screen agent); women found the robot more reliable, while men found the on-screen agent more so.

Concerning appropriateness of movements, mover participants perceived the robot as moving appropriately. In contrast, talkers felt Mel did not move appropriately. However, some talker participants said that they thought the robot moved! This effect confirms our sense that a talking head is not doing everything that a robot should be doing in an interaction, when people and objects are present. Mover participants' responses indicated that they thought:

- The interaction with Mel was just like interacting with a real person.
- Mel always looked at me at the appropriate times.

- Mel did not confuse me with where and when he moved his head and wings.
- Mel always looked at me when he was talking to me.
- Mel always looked at the table and the glass at the appropriate times.

However, it is striking that users in the talker condition found the robot more reliable when it was just a talking head:

- I could depend on Mel to work correctly every time.
- Mel seems reliable.
- If I did the same task with Mel again, he would do it the same way.
- I could trust Mel to work whenever I need him to.

There are two possible conclusions to be drawn about reliability: (1) the robot's behaviors were not correctly produced in the mover condition, and/or (2) devices such as robots with moving parts are seen as more complicated, more likely to break and hence less reliable. Clearly, much more remains to be done before users are perfectly comfortable with a robot.

## 5.2 Behavioral observations

What users say about their experience is only one means of determining interaction behavior, so the videotaped sessions were reviewed and transcribed for a number of features. With relatively little work in this area (see Nakano et al. (2003) for one study on related matters with a screen-based ECA), the choices were guided by measures that indicated interest and attention in the interaction. These measures were:

- length of interaction time as a measure of overall interest, the
- amount of shared looking (i.e., the combination of time spent looking at each other and looking together at objects), as a measure of how coordinated the two conversants were,
- mutual gaze (looking at each other only) also as a measure of conversants' coordination,
- the amount of looking at the robot during the human's turn, as a measure of attention to the robot,
- and the amount of looking at the robot overall, also as an attentional measure.

Table 3 summarizes the results for the two conditions. First, total interaction time in the two conditions varied significantly (row 1 in Table 3). This difference may help explain the subjective sense gathered during video viewing that the talker participants were less interested in the robot and more interested in doing the demonstration, and hence completed the interaction more quickly.

Measure	Mover	Talker	Test/Result	Significance
Interaction time	217.7 sec	183.1 sec	Single factor ANOVA: $F[1, 36] = 10.34$	Significant: $p < 0.01$
Shared looking	51.1%	36.1%	Single factor ANOVA: $F[1, 36] = 8.34$	Significant: $p < 0.01$
Mutual gaze	40.6%	36.1%	Single-factor ANOVA: $F[1, 36] = 0.74$	No: $p = 0.40$
Speech directed to robot	70.4%	73.1%	Single-factor ANOVA: $F[1, 36] = 4.13$	No: $p = 0.71$
Look backs, overall	19.65 avg. median: 18-19	12.82 avg. median: 12	Single-factor ANOVA: $F[1, 36] = 15.00$	Highly: $p < 0.001$
Table-look 1	12/19 (63%)	6/16 (37.5%)	t-tests $t(33) = 1.52$	Weak: One-tailed: $p = 0.07$
Table-look 2	11/20 (55%)	9/16 (56%)	t-tests $t(34) = -1.23$	No: One-tailed: $p = 0.47$

Table 3

Summary of behavior test results in human-robot interaction experiment.

While shared looking (row 2 in Table 3) was significantly greater among mover participants, this outcome is explained by the fact that the robot in the talker condition could never look with the human at objects in the environment. However, it is noteworthy that in the mover condition, the human and robot spent 51% of their time (across all participants) coordinated on looking at each other and the demonstration objects. Mutual gaze (row 3 in Table 3) between the robot and human was not significantly different in the two conditions.

We chose two measures for how humans attended to the robot: speech directed to the robot during the human’s turn, and other times the human looked back to the robot during the robot’s turn. In the social psychology literature, Argyle (1975) notes that listeners generally looked toward the speaker as a form of feedback that they are following the conversation (p. 162-4). So humans looking at the robot during the robot’s turn would indicate that they are behaving in a natural conversational manner.

The measure of speech directed to the robot during the human’s turn (row 4 in Table 3) is an average across all participants as a percentage of the total

number of turns per participant. There is no difference in the rates. What is surprising is that both groups of participants directed their gaze to the robot for 70% or more of their turns. This result suggests that a conversational partner, at least one that is reasonably sophisticated in conversing, is a compelling partner, even with little gesture ability.<sup>8</sup> However, the second measure, the number of times the human looked back at the robot, are highly significantly greater in the mover condition. Since participants spend a good proportion of their time looking at the table and its objects (55% for movers, 62% for talkers), the fact that they interrupt their table looking to look back to the robot is an indication of how engaged they are with it compared with the demonstration objects. This result indicates that a gesturing robot is a partner worthy of closer attention during the interaction.

We also found grounding effects in the interaction that we had not expected. Participants in both conditions nodded at the robot, even though during this study, the robot was not able to interpret nods in any way. Eleven out of twenty participants in the mover condition nodded at the robot three or more times during the interaction (55%) while in the talker condition, seven out of seventeen participants (41%) did. Nods were counted only when they were clearly evident, even though participants produced slight nods even more frequently. The vast majority of these nods accompany “okay,” or “yes,” while a few accompany a “goodbye.” There is personal variation in nodding as well. One participant, who nodded far more frequently than all the other participants (a total of 17 times), nodded in what appeared to be an expression of agreement to many of the robot’s utterances. The prevalence of nodding, even with no evidence that it is understood, indicates just how automatic this conversational behavior is. It suggests that the conversation was enough like a human-to-human conversation to produce this grounding effect even without planning for this type of behavior. The frequency of nodding in these experiments motivated in part the inclusion of nod understanding in the robot’s more recent behavior repertoire (Lee et al. (2004)).

We also wanted to understand the effects of utterances where the robot turned to the demonstration table as a deictic gesture. For the two utterances where the robot turned to the table (Table-look 1 and 2), we coded when participants turned in terms of the words in the utterance and the robot’s movements. These utterances were: “Right there *<robot gesture>* is the IGlassware cup and near it is the table readout,” and “The *<robot gesture>* copper in the glass transmits to the readout display by inductance with the surface of the table.” For both of these utterances, the mover robot typically (but not always) turned its head towards and down to the table as its means of pointing at the objects. The time in the utterance when pointing occurred is marked with

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<sup>8</sup> We did not eliminate beak movements in the talker condition since pre-testing indicated that users found the resulting robot non-conversational.

the label *<robot gesture>*. Note that the talker robot never produced such gestures.

For the first instance, Table-look 1, (“Right there...”), 12/19 mover participants (63%) turned their heads or their eye gaze during the phrase “IGlassware cup.” For these participants, this change was just after the robot has turned its head to the table. The remaining participants were either already looking at the table (4 participants), turned before it did (2 participants) or did not turn to the table at all (1 participant); 1 participant was off-screen and hence not codeable. In contrast, among the talker participants, only 6/16 participants turned their head or gaze during “IGlassware cup” (37.5%). The remaining participants were either already looking at the table before the robot spoke (7 participants) or looked much later during the robot’s utterances (3 participants); 1 participant was off camera and hence not codeable.

For Table-look 2, (“The copper in the glass...”), 11 mover participants turned during the phrases “in the glass transmits,” 7 of the participants at “glass.” In all cases these changes in looking followed just after the robot’s change in looking. The remaining mover participants were either already looking at the table at the utterance start (3 participants), looked during the phrase “glass” but before the robot turned (1 participant), or looked during “copper” when the robot had turned much earlier in the conversation (1 participant). Four participants did not hear the utterance because they had taken a different path through the interaction. By comparison, 12 of the talker participants turned during the utterance, but their distribution is wider: 9 turned between “copper in the glass transmits” while 3 participants turned much later in the utterances of the turn. Among the remaining talker participants, 2 were already looking when the utterance began, 1 participant was distracted by an outside intervention (and not counted), and 2 participants took a different path through the interaction.

The results for these two utterances are too sparse to provide strong evidence. However, they indicate that participants pay attention to when the robot turns his head, and hence his attention, to the table. When the robot does not move, participants turn their attention based on other factors (which appear to include the robot’s spoken utterance, and their interest in the demonstration table). Kendon (1990) discusses how human participants in one-on-one and in small groups follow the head changes of others in conversation. Thus there is evidence that participants in this study are behaving in a way that conforms to their normal human interactions patterns.

While the results of this experiment indicate that talking encourages people to respond to a robot, it appears that gestures encourage them even more. One might argue that movement alone explains why people looked more often at the robot, but the talking-only robot does have some movement—its beak moves.



So it would seem that other gestures are the critical matter. The gestures used in the experiment are ones appropriate to conversation. It is possible that it is the gestures themselves, and not their appropriateness in the context of the conversation, that are the source of this behavior. Our current experiment does not allow us to distinguish between appropriate gestures and inappropriate ones. However, if the robot were to move in ways that were inappropriate to the conversation, and if human partners ignored the robot in that case, then we would have stronger evidence for engagement gestures. We have recently completed a set of experiments that were not intended to judge these effects, but have produced a number of inappropriate gestures for extended parts of an interaction. These results may tell us more about the importance of appropriate gestures during conversation.

Developing quantitative observational measures of the effects of gesture on human-robot interaction continues to be a challenging problem. The measures used in this work, interaction time, shared looking, mutual gaze, looks during human turn, looks back overall, number of times nodding occurred and in relation to what conversation events, and observations of the effects of deictic gestures, are all relevant to judging attention and connection between the human and the robot in conversation. The measures all reflect patterns of behavior that occur in human-human conversation. This work has assumed that it is reasonable to expect to find these same behaviors occurring in human-robot conversation, as indeed they do. However, there is need for finer-grained measures, that would allow us to judge more about the robot's gestures as natural or relevant at a particular point in the conversation. Such measures await further research.

## 6 Related Research

While other researchers in robotics are exploring aspects of gesture (for example Breazeal (2001) and Ishiguro et al. (2003)), none of them have attempted to model human-robot interaction to the degree that involves the numerous aspects of engagement and collaborative conversation that we have set out above. A robot developed at Carnegie Mellon University serves as a museum guide (Burgard et al. (1998)) and navigates well while avoiding humans, but interacts with users via a screen-based talking head with minimal engagement abilities. Robotics researchers interested in collaboration and dialogue (e.g., Fong et al. (2001)) have not based their work on extensive theoretical research on collaboration and conversation. Research on human-robot gesture similarity (Ono et al. (2001)) indicates that body gestures corresponding to a joint point of view in direction-giving affect the outcome of human gestures as well as human understanding of directions.

Our work is also not focused on emotive interactions, in contrast to Breazeal Breazeal (2001) among others (e.g., Lisetti et al. (2004)).

Most similar in spirit to the work reported here is the ARMAR II robot (Dillmann et al. (2004)). ARMAR II is speech enabled, has some dialogue capabilities, and has abilities to track gestures and people. However, the ARMAR II work is focused on teaching the robot new tasks (with programming by demonstration techniques), while our work has been focused on improving the interaction capabilities needed to hold conversations and undertake tasks. Recently, Breazeal et al. (2004) have explored teaching a robot a physical task that can be performed collaboratively once learned.

Research on infant robots with the ability to learn mutual gaze and joint attention (Kozima et al. (2003); Nagai et al. (2003)) offers exciting possibilities for eventual use in more sophisticated conversational interactions.

## 7 Future work

Future work will improve the robot’s conversational language generation so that nodding by humans will be elicited more easily. In particular, there is evidence in the linguistic literature, *inter alia* (Clark (1996)), that human speech tends to short intonational phrases with pauses for backchannels rather than long full utterances that resemble sentences in written text. By producing utterances of the short variety, we expect that people will nod more naturally at the robot. We plan to test our hypothesis by comparing encounters with our robot where participants are exposed to different kinds of utterances to test how they nod in response.

The initiation of an interaction is an important engagement function. Explorations are needed to determine the combinations of verbal and non-verbal signals that are used to initially engage a human user in an interaction (see Miyauchi et al. (2004)). Our efforts will include providing mobility to our robot as well as extending the use of current vision algorithms to “catch the eye” of the human user and present verbal feedback in the initiation of engagement.

Current limits on the robot’s vision make it impossible to determine the identity of the user. Thus if the user leaves and is immediately replaced by another person, the robot cannot tell that this change has happened. Identity recognition algorithms, in variable light without color features, will soon be used, so that the robot will be able to recognize the premature end of an interaction when a user leaves. This capability will also allow the robot to judge when the user might desire to disengage due to looks away from either the robot or the

objects relevant to collaboration tasks.

Finally, we would like to understand how users change and adapt to the robot. Because most of our users have not interacted with robots before, the novelty of Mel plays some role in their behavior that we cannot quantify. We are working on giving the robot several additional conversational topics, so that users can have several conversations with Mel over time, and we can study whether and how their behaviors change.

## 8 Conclusions

In this paper we have explored the concept of engagement, the process by which individuals in an interaction start, maintain and end their perceived connection to one another. We have reported on one aspect of engagement among human interactors—the effects of tracking faces during an interaction. We have reported on a humanoid robot that participates in conversational, collaborative interactions with engagement gestures. The robot demonstrates tracking its human partner’s face, participating in a collaborative demonstration of an invention, and making engagement decisions about its own behavior as well as the human’s during instances where face tracking was discontinued in order to track objects for the task. We also reported on our findings of the effects on human participants of a robot that did and did not perform engagement gestures.

While this work is only a first step in understanding the engagement process, it demonstrates that engagement gestures have an effect on the behavior of human interactors with robots that converse and collaborate. Simply said, people direct their attention to the robot more often in interactions where gestures are present, and they find these interactions more appropriate than when gestures are absent. We believe that as the engagement gestural abilities of robots become more sophisticated, human-robot interaction will become smoother, be perceived as more reliable, and will make it possible to include robots into the everyday lives of people.

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